

ALERTS

Reminder: Swaps Compliance Dates for Commodity Pool Operators and Investment Fund Managers

May 31, 2013

Given the large number of regulatory developments coming from the Commodity Futures Trading Commission, the National Futures Association and other regulatory agencies, we have compiled a short list of important recent and upcoming deadlines facing commodity pool operators and investment advisers. Along with each deadline, the list provides a concise summary of certain actions that may need to be taken and the relevant guidance provided by the applicable regulator.

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