

**ALERTS**

# Reminder: Swaps Compliance Dates for Commodity Pool Operators and Investment Fund Managers

**May 31, 2013**

Given the large number of regulatory developments coming from the Commodity Futures Trading Commission, the National Futures Association and other regulatory agencies, we have compiled a short list of important recent and upcoming deadlines facing commodity pool operators and investment advisers. Along with each deadline, the list provides a concise summary of certain actions that may need to be taken and the relevant guidance provided by the applicable regulator.

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## Related People



**Craig  
Stein**

Partner  
New York

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## Practices

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## Attachments

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