### SCHULTE ROTH + ZABEL

**ℕ** NEWS & INSIGHTS

#### PUBLICATIONS

## SRZ Attorneys Author *Insider Trading Law and Compliance Answer Book 2015*

### Practising Law Institute

#### January 2015

Schulte Roth & Zabel attorneys have collaborated to update and expand *Insider Trading Law and Compliance Answer Book 2015*, a book published by Practising Law Institute. This easy-to-use, comprehensive treatise offers an explanation of both the basics and complexities of insider trading law.

Written in a clear, succinct question-and-answer format, this new edition fully integrates recent regulatory changes and provides a step-by-step understanding of the legal requirements imposed upon all investors. *Insider Trading Law and Compliance Answer Book 2015* walks readers through the elements of an insider trading claim, what is legitimate company research that can be freely acted upon and what is nonpublic information that may not, when acting on a "tip" can incur legal liability, and the framework of civil and criminal penalties when insider trading has been successfully proved. In addition, new and expanded topics for the 2015 edition include discussions of scienter requirements for violations, the mosaic theory, and transactions involving foreign purchasers, exchanges or issuers.

*Insider Trading Law and Compliance Answer Book 2015* serves as a reference for corporate insiders, investment advisers, compliance personnel, and both sophisticated and lay investors, as well as for the attorneys who advise and represent them. In today's turbulent financial markets, a claim of insider trading often hits the newspapers, and this

timely and practical treatise is a concise road map to help readers safely navigate the major legal regulatory requirements related to insider trading.

Litigation partner Harry S. Davis served as editor and authored several chapters of the book. Additional chapter authors include litigation partners Eric Bensky, Howard O. Godnick, William H. Gussman, Jr., Marcy Ressler Harris, Taleah E. Jennings, David K. Momborquette, Martin L. Perschetz, Howard Schiffman, Gary Stein, Michael E. Swartz, Robert J. Ward and Peter H. White, attorney Betty Santangelo and special counsel Michael G. Cutini and Jeffrey F. Robertson; investment management and regulatory and compliance partner Marc E. Elovitz; business transactions partners Eleazer Klein and Marc Weingarten; business reorganization partner Adam C. Harris; and former Schulte lawyer Alan R. Glickman.

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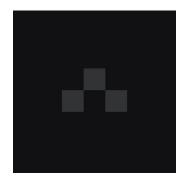
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## Practices

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