

# Evan A. Berger

## *Associate*

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### Contact

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### **Focuses on corporate finance and securities law.**

Evan represents both investors and public companies in private and public corporate finance transactions, including private investments in public equity (PIPEs), private placements, initial public offerings, shelf registrations, registered direct transactions, exchange offers and other securities offerings.

In addition, Evan counsels investor clients in the regulatory areas of Sections 13 and 16 and advises public companies with corporate governance, Securities and Exchange Commission reporting obligations and exchange listing and compliance matters.

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## Practices

**MERGERS AND ACQUISITIONS**

**PIPES**

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## Bar Admissions

New York

New Jersey

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## Education

Fordham University School of Law, J.D.

- Associate Editor, *Fordham Urban Law Journal*

University of Michigan, B.A.

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## Publications

- “SEC Approves NYSE’s Amended ‘Related Party’ and ‘20%’ Stockholder Approval Rules,” *Schulte Alert*, April 9, 2021; republished in the *Harvard Law School Forum on Corporate Governance*, April 21, 2021
  - “NYSE Proposes to Permanently Amend ‘Related Party’ and ‘20%’ Stockholder Approval Rules,” *Schulte Alert*, Jan. 12, 2021
  - “SEC Reporting Companies Granted Further Extension of Filing Relief Due to COVID-19,” *Schulte Alert*, March 27, 2020
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## Speaking Engagements

- LBA Capital Markets Panel, NYU School of Law, New York, November 2019

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## Distinctions

- *New York Metro Super Lawyers* — Rising Star

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## Prior Experience

- Sichenzia Ross Ference