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# Joshua B. Wright Associate

#### Contact

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Joshua Wright is the knowledge management attorney for firm's Investment Management Group, and point person for CFTC and NFA compliance in the Regulatory & Compliance Group. In the former capacity, Joshua draws on legal knowledge, technology and the capabilities of the firm's attorneys and personnel to build processes, resources and training that facilitate efficient delivery of industry-leading legal solutions and market intelligence for the firm and its clients. As a member of the Regulatory & Compliance Group, Joshua advises concerning the expanding array of legal issues involving commodity interests, CFTC registration, NFA membership, and exchange-level compliance that confront both domestic and international investment managers across the gamut of firm sizes and strategic dispositions. Joshua routinely advises managers undergoing NFA examinations and has experience with both exchange-level inquiries and seeking no-action relief from the CFTC.

## **Practices**

#### **INVESTMENT MANAGEMENT**

# **Bar Admissions**

New York

# Education

University of Pennsylvania Law School, J.D., magna cum laude

The College of New Jersey, B.A., summa cum laude, with honors

## **Publications**

- "CFTC Proposes Rule 4.7 Changes That Would Impose Significant New Disclosure Requirements for Many CPO-Registered Fund Managers and CTA-Registered Advisers," Schulte Alert, Oct. 17, 2023
- "NFA Excludes Certain Remote Work Arrangements From Its Branch Office Definition," Schulte Alert, Oct. 7, 2021
- "Reminder: NFA Member Managers and Virtual Currency Disclosures," Schulte Alert, Aug. 4, 2021
- "Qualified Client 'Assets-Under-Management' and 'Net Worth' Test Amounts Increased to \$1.1 and \$2.2 Million, Respectively," Schulte Alert, July 1, 2021
- "White House Revamps Chinese Securities Trading Ban," Schulte Alert, June 8, 2021
- "CFTC Adopts New Position Limits Rules The Impact on Private Fund Managers," Schulte Alert, Nov. 3, 2020; republished in The Hedge Fund Journal, November 2020
- "FinCEN and Banking Agencies Provide Clarification on PEP Screening Best Practices," Schulte Alert, Oct. 5, 2020
- "SEC Updates Accredited Investor and QIB Definitions," Schulte Alert, Sept. 21, 2020
- "Short-Selling Austria, Belgium, France, Greece and Spain Extend Their Short-Selling Bans," Schulte Alert, April 16, 2020
- "CFTC and NFA Update Extensions of CPO and CTA Filing Deadlines (COVID-19) (Updated)," Schulte Alert, March 24, 2020
- "CFTC Coronavirus Update for Commodity Pool Operators Branch Office Relief," Schulte Alert, March 15, 2020
- "CFTC Coronavirus Update for Commodity Pool Operators," Schulte Alert, March 11, 2020

- "New ESG Disclosure Obligations to Take Effect in March 2021," Schulte Alert, March 2, 2020; republished in Harvard Law School Forum on Corporate Governance, March, 24, 2020; republished in The Hedge Fund Journal, March 2020
- "CFTC Cyber Incident Information Request Private Fund Manager Responses Required," Schulte Alert, Jan. 9, 2020
- "CFTC Amendments to Registration and Compliance Rules Lessons for Private Fund Managers," *Schulte Alert*, Dec. 17, 2019; republished in *Eurekahedge*, January 2020
- "CFTC 2020 Examination Initiative Guidance for Private Fund Managers," Schulte Alert, Nov. 8, 2019 (co-author)
- "Swaps Proficiency Administration Webinars (and Surcharge)
   Announced by NFA," Schulte Alert, Oct. 18, 2019 (co-author)
- "NFA Updates FAQs on Swaps Proficiency Requirements," Schulte Alert, Sept. 20, 2019 (co-author)
- "CFTC Sanctions CCO for Participation in Fraud: Lessons for Private Fund Compliance Officers," Schulte Alert, Sept. 19, 2019 (coauthor)
- "New NFA Proficiency Requirements for Hedge Fund Managers," Schulte Alert, May 2, 2019 (co-author); republished in The Hedge Fund Journal, May 2019
- "NFA Update: New Supervision and Cybersecurity Obligations for Private Fund Managers Registered with the National Futures Association," Schulte Alert, Feb. 1, 2019 (co-author)

## **Prior Experience**

Sullivan & Cromwell