

**FIRM NEWS**

## **SRZ Attorneys Author Insider Trading Law and Compliance Answer Book 2011-12**

**December 5, 2011**

Schulte Roth & Zabel attorneys have collaborated to write *Insider Trading Law and Compliance Answer Book 2011-12*, a new book published by Practising Law Institute. The timely treatise offers a comprehensive and concise road map to help readers safely navigate the major regulatory legal requirements they face and to keep them firmly on the right side of the line separating good research from illegal insider trading.

Noting the recent increase in high-profile insider trading enforcement actions as well as criminal prosecutions and convictions, *Insider Trading Law and Compliance Answer Book's* authors integrate recent regulatory changes with a sophisticated understanding of the regulatory, civil and criminal issues that can arise, the potential penalties, and the available defenses. Aimed at attorneys, compliance personnel, corporate insiders as well as both sophisticated and lay investors alike, the treatise provides a step-by-step understanding of the legal requirements imposed upon all investors. It does so in question and answer format to make this complex subject more easily understandable, providing clear guidance for investors and practitioners alike.

Marty Perschetz, co-chair of the Litigation Group and a member of the firm's Executive Committee, calls the treatise a "superb example of Schulte Roth & Zabel's well-rounded expertise on the nuances of insider trading law and compliance. We believe that this book provides useful and valuable insights for investors, financial advisers, lawyers and compliance personnel."

*Insider Trading Law and Compliance Answer Book 2011-12* walks readers through the elements of an insider trading claim, explaining the difference between legitimate research that can be acted upon freely and the possession of material, non-public information that may not be utilized in trading. The book also identifies when acting on a “tip” can result in legal liability and discusses the penalties for violating the law. Importantly, the book offers practical advice for avoiding a charge of insider trading, including a chapter devoted to compliance issues.

SRZ litigation partner Harry S. Davis served as editor and authored several chapters of the book. Additional SRZ chapter authors include litigation partners Howard O. Godnick, William H. Gussman, Jr., Marcy Ressler Harris, David K. Momborquette, Martin L. Perschetz, Betty Santangelo, Howard Schiffman, Gary Stein, Michael E. Swartz, Robert J. Ward, and Peter H. White as well as litigation special counsel Jeffrey F. Robertson; investment management and regulatory & compliance partner Marc E. Elovitz; business transactions partners Eleazer Klein and Marc Weingarten; business reorganization partner Adam C. Harris; and former Schulte lawyer Alan R. Glickman.

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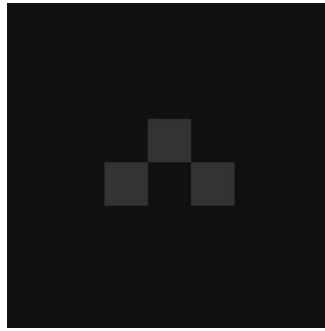
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