

**PUBLICATIONS**

## **FSA Conflicts of Interest Safeguards**

### **Action To Be Taken by All UK-Authorised Hedge Fund Managers**

**November/December 2012**

The UK Financial Services Authority has recently published an important report that builds upon a series of late 2011 and early 2012 “thematic reviews” of FSA-authorized investment managers (the “Report”). The Report, which focuses (at length and with specific examples) on numerous failures to identify, monitor and mitigate conflicts of interest in ways that meet the FSA’s expectations, is more direct in its tone and in its content than has been seen in such circulars previously and may presage a new era of vigorous FSA enforcement.

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## Related People



### Christopher Hilditch

Partner  
London

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## Practices

**INVESTMENT MANAGEMENT**

**REGULATORY AND COMPLIANCE**

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## Attachments

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