

**FIRM NEWS**

## **SRZ Attorneys Discuss SEC Investigation Response for Asset Managers**

**June 18, 2014**

In the Willis of New York webinar “SEC Investigation Response for Asset Managers: How to Prepare and Mistakes to Avoid,” SRZ special counsel Theodore A. Keyes discusses the increasing exposure of investment advisers and hedge funds to informal and formal investigations. Gary leads a discussion on SEC enforcement actions, including enforcement trends and the issues that typically lead to investment adviser enforcement actions. Ted discusses the insurance implications of the SEC policy on admissions in settlements.

To view the presentation slides for this webinar, [click here](#).

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## Related People



**Theodore  
Keyes**

Partner  
New York

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## Practices

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