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Execution Enforcement Actions Escalate: Schulte Roth's Broker-Dealer Regulatory & Enforcement Lawyers

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As broker-dealers continue to face heightened government scrutiny, there is a growing demand for advice on broker-dealer matters, including complex market structure and execution issues. In this article, *The Hedge Fund Journal* talks to partners and co-chairs of the firm's Broker-Dealer Regulatory & Enforcement Group, Julian Rainero and Craig Warkol, and partner Douglas Koff about several key market microstructure issues and the current broker-dealer regulatory environment.

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