SCHULTE ROTH + ZABEL

NEWS & INSIGHTS

FIRM NEWS

Schulte Lawyers Author New Edition of Insider Trading Law and Compliance Answer Book

January 2017

Schulte lawyers have collaborated to update and expand *Insider Trading Law and Compliance Answer Book 2017*, a book published by Practising Law Institute. This easy-to-use, comprehensive treatise offers an explanation of both the basics and complexities of insider trading laws.

Written in a clear, succinct question-and-answer format, this new edition fully integrates recent litigation and regulatory changes and provides a step-by-step understanding of the legal requirements imposed upon all investors. *Insider Trading Law and Compliance Answer Book 2017* walks readers through the reach of insider trading laws, the specifics that must be proved in court, the available defenses, the penalties, the current approaches taken by the SEC and the Department of Justice to insider trading violations, and the best compliance policies and procedures for firms to enact to minimize the chances of a violation. The expanded 2017 edition has a new chapter that covers insider trading law in the United Kingdom, including the types of securities covered, the elements of insider trading, "tipper-tippee" liability, territorial scope, defenses and penalties.

Insider Trading Law and Compliance Answer Book 2017 serves as a reference for investors, corporate insiders, professional traders, investment advisers, broker-dealers and other participants in the securities industry, as well as for the lawyers who advise and represent them. In today's financial markets, a claim of insider trading often hits the newspapers, and this timely and practical treatise is a concise road map to help readers safely navigate the major legal regulatory requirements related to insider trading.

Litigation partner Harry Davis served as editor and authored several chapters of the book. Additional chapter authors include litigation partners Eric Bensky, Charles Clark, Howard Godnick, William Gussman, Jr., Marcy Ressler Harris, Taleah Jennings, David Momborquette, Martin Perschetz, Howard Schiffman, Gary Stein, Michael Swartz, Robert Ward and Peter White, lawyer Betty Santangelo and special counsel Michael Cutini and Jeffrey Robertson; business reorganization partner Adam Harris; investment management and regulatory & compliance partners Marc Elovitz and Anna Maleva-Otto; M&A and securities partners Eleazer Klein and Marc Weingarten; and former Schulte lawyer Alan R. Glickman.

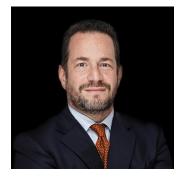
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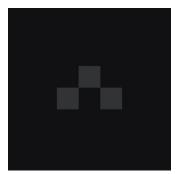
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