

 **PEOPLE**



Malik Rollins
Special Counsel

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Malik practices in the Investment Management Regulatory & Compliance Group.

Practices

INVESTMENT MANAGEMENT
REGULATORY AND COMPLIANCE

Bar Admissions

New York

Education

Hofstra University School of Law, J.D.

The Pennsylvania State University, B.A.

Publications

- “The SEC and CFTC Overhaul Form PF,” *Schulte Alert*, April 15, 2024; republished in *Harvard Law School Forum on Corporate Governance*, May 2024
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Speaking Engagements

- “A Discussion on AI, Cybersecurity, and a Review of the SEC’s Recent AI-Washing Action Against RIAs,” Eagle Alpha Webinar, May 2024
 - “New Form PF amendments affecting all filers and large hedge fund advisers,” AIMA Webinar, March 2024
 - “Compliance Hot Button Issues,” 33rd Annual Schulte Private Capital Forum, January 2024
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Prior Experience

- ExodusPoint Capital Management
- UBS Group AG
- KPMG
- National Stock Exchange